

UNITED STATES HOUSE OF REPRESENTATIVES**FORM B****FINANCIAL DISCLOSURE STATEMENT**

For New Members, Candidates, and New Employees

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LEGISLATIVE RESOURCE CENTER
U.S. HOUSE OF REPRESENTATIVES
18 MAY 15 PM 4:55

Name: JONATHAN E. LEWIS		Daytime Telephone: 914-716-0872
FILER STATUS	<input checked="" type="checkbox"/> New Member or Candidate for U.S. House of Representatives	State: NEW YORK
	<input type="checkbox"/> Candidates - Date of Election:	District: 16th
	<input type="checkbox"/> New Officer or Employee	Staff Filer Type (if Applicable): <input type="checkbox"/> Shared <input checked="" type="checkbox"/> Principal Assistant
	Employing Office: _____	Period Covered: January 1, 2017 to April 30, 2018
<input type="checkbox"/> Check if Amendment (Office Use Only) A \$200 penalty shall be assessed against any individual who files more than 30 days late.		

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	<input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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SCHEDULE A – ASSETS & “UNEARNED INCOME”

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BLOCK A		BLOCK B		BLOCK C	
Assets and/or Income Sources		Value of Asset		Type of Income	
Sp Dr. Cr.	ASSET NAME	Sp Dr. Cr.		Sp Dr. Cr.	Amount of Income
	CITI - LEUTHOLD GRIZZLY SHORT (GRZZX)	X			None
	CITI - MATTHEWS CHINA FUND (MCHFX)	X			\$1-\$100
	CITI - NUVEEN GLOBAL INFRA (FGTAX)	X			\$101-\$150,000
	CITI - VANGUARD ENERGY (VGENX)	X	X		\$151-\$200,000
	CITI - VANGUARD SPECI. METALS & MINING (VGPMX)	X	X		\$201-\$300,000
	CITI - BLACKROCK GLOBAL (BOMX)	X	X		\$301-\$500,000
	CITI - ROBECO BOSTON PTRS (BPIRX)	X	X		\$501-\$1,000,000
	CITIGOLD INTEREST CHECKING	X	X		\$1,001-\$5,000,000
	VANGUARD 401K (st 899)*- INTER TERM TREAS (VFTRX)	X	X		Over \$5,000,000
	VANGUARD - FEDERAL MM (VMFXX)	X	X		Spouse/DC Asset over \$1,000,000*
	VANGUARD - ENERGY FUND (VGENX)	X	X		None
X	VANGUARD - INTL VALUE FUND (VTRIX)	X	X		DIVIDENDS
X	VANGUARD - INT'L HIGH DIV YIELD (VHAX)	X	X		RENT
	VANGUARD - LT BOND INDEX (VBLTX)	X	X		INTEREST
	VANGUARD - LT INVEST GR INV (VWESX)	X	X		CAPITAL GAINS
					EXCEPTED/BLIND TRUST
					TAX DEFERRED
					Other Type of Income (Specify: e.g., Partnership Income or Farm Income)
					None
					\$1-\$200
					\$201-\$1,000
					\$1,001-\$2,500
					\$2,501-\$5,000
					\$5,001-\$15,000
					\$151-\$500,000
					\$501-\$1,000,000
					\$1,001-\$5,000,000
					Over \$5,000,000
					Spouse/DC Income over \$1,000,000*
					None
					\$1-\$200
					\$201-\$1,000
					\$1,001-\$2,500
					\$2,501-\$5,000
					\$5,001-\$15,000
					\$151-\$500,000
					\$501-\$1,000,000
					\$1,001-\$5,000,000
					Over \$5,000,000
					Spouse/DC Income over \$1,000,000*
					None
					\$1-\$200
					\$201-\$1,000
					\$1,001-\$2,500
					\$2,501-\$5,000
					\$5,001-\$15,000
					\$151-\$500,000
					\$501-\$1,000,000
					\$1,001-\$5,000,000
					Over \$5,000,000
					Spouse/DC Income over \$1,000,000*
					None
					\$1-\$200
					\$201-\$1,000
					\$1,001-\$2,500
					\$2,501-\$5,000
					\$5,001-\$15,000
					\$151-\$500,000
					\$501-\$1,000,000
					\$1,001-\$5,000,000
					Over \$5,000,000
					Spouse/DC Income over \$1,000,000*

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

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Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

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BLOCK A Assets and/or Income Sources		Value of Asset	Type of Income	Amount of Income											
Block	Asset Name			Block	Block	Block	Block	Block	Block	Block	Block	Block	Block	Block	Block
SP				A	B	C	D	E	F	G	H	I	J	K	L
DC															
JT															
LEWIS 2018 CAMPAIGN LOAN TO HOUSE COMPAGN															
DC VANGUARD INTER TERM TREAS INV (VITX)	x														
DC NYS 529 - DEVELOPED MARKETS PORTFOLIO:															
VANGUARD DEVELOPED MARKETS (VDPK)	x														
DC NYS 529-STOCK INDEX PORTFOLIO:															
VANGUARD VALUE STOCK INDEX (VMAX)	x														
DC NYS 529 - VANGUARD INTEREST ACCUMULATION PORTFOLIO:															
VANGUARD SHORT TERM RESERVES ACCOUNT															
DC NYS 529 - INCOME PORTFOLIO:															
VANGUARD TOTAL BOND MARKET II (VBMFX)	x	x													
VANGUARD TOTAL INT BOND FUND (VTFBX)															
VANGUARD SH T INFLATION PROTECTED (VTHX)	x	x													
VANGUARD SHORT TERM RESERVES ACCOUNT	x	x													

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer

EXCLUDED: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS - REQUIRED INCOME. Be advised that the income limit and restricted income may apply to you after you are on Houseaux.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); cars secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC, JT	Creditor	Date Liability Incurred MOTR	Type of Liability	Amount of Liability							K
				A	B	C	D	E	F	G	
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE		\$10,001-\$15,000						
Sears		4/18	Revolving Charge Account-Credit Card	X					\$15,001-\$50,000		
American Express			Revolving Charge Account-Credit Card	X					\$50,001-\$100,000		
									\$100,001-\$250,000		
									\$250,001-\$500,000		
									\$500,001-\$1,000,000		
									\$1,000,001-\$3,000,000		
									\$5,000,001-\$25,000,000		
									\$25,000,001-\$50,000,000		
									Over \$50,000,000		
									Over \$1,000,000* (Spouse/DC Liability)		

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members** and **second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Board member resigned 2016	EI Capital Management, Inc.

**FILER NOTES
(Optional)**

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NOTE NUMBER	NOTES
1	SCHEDULE C SHOWS AMBROSE EMPLOYER (MATCHING W2). JONATHAN IS THE CIO OF FIERA CAPITAL, CURRENTLY ON LEAVE

Use additional sheets if more space is required.